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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/672,177	09/26/2003	John Lezdey	1435-1

JOHN LEZDEY & ASSOCIATES  
 2875 MCI Drive  
 Pinellas Park, FL 33782

CONFIRMATION NO. 9860  
 FORMALITIES  
 LETTER

Date Mailed: 03/16/2006

## NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

*Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c). Applicant must provide an initial paper or compact disc copy of the "Sequence Listing", **as well as an amendment specifically directing its entry into the application** and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

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For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patent Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patent Software Program Help @ [ebc@uspto.gov](mailto:ebc@uspto.gov)

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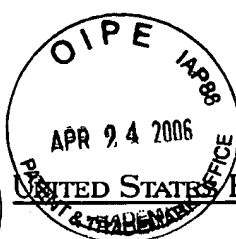
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*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in cursive script, appearing to read "J. Steph", is written over a horizontal line.

Office of Initial Patent Examination (571) 272-4000, or 1-800-PTO-9199, or 1-800-972-6382  
PART 1 - ATTORNEY/APPLICANT COPY



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10/672,177	09/26/2003	John Lezdey	1435-1

JOHN LEZDEY & ASSOCIATES  
2875 MCI Drive  
Pinellas Park, FL 33782

**CONFIRMATION NO. 9860**  
**WITHDRAWAL**  
**NOTICE**

Date Mailed: 03/16/2006

**WITHDRAWAL OF PREVIOUSLY SENT NOTICE**

The Notice mailed on 08/16/2005 was sent in error and is hereby withdrawn. A corrected Notice is enclosed. The time period for reply runs from the mail date of the corrected Notice. The Office regrets any inconvenience the error may have caused.

*A copy of this notice MUST be returned with the reply.*

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PART 1 - ATTORNEY/APPLICANT COPY



**IN THE UNITED STATES PATENT AND TRADEMARKS OFFICE**

Applicant: Lezdey  
Serial No.: 10/672,177  
Filed: 09/26/2003  
For: DNA for Expression of Alpha 1-Antitrypsin in Methylotropic Yeast

Mail Stop – Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

Dear Sir:

Please find enclosed for filing:

<input checked="" type="checkbox"/> Response to Request	<input checked="" type="checkbox"/> Copy of Notice to Comply
<input checked="" type="checkbox"/> Sequence Listing on Disc	<input checked="" type="checkbox"/> Sequence Listing on Paper
<input checked="" type="checkbox"/> Post Card	<input type="checkbox"/> Issue Fee Transmittal
<input type="checkbox"/> Certificate of Express Mail	<input checked="" type="checkbox"/> Copy of Previous Withdrawal Notice

Date: April 20, 2006

Docket No.: 1435-1

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING  
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Alexandria, VA 22313-1450

On April 20, 2006 Date of Deposit

PRINT OR TYPE NAME OF PERSON SIGNING CERTIFICATE

John Lezdey

SIGNATURE OF PERSON SIGNING CERTIFICATE DATE

*John Lezdey*

4-20-06

Respectfully submitted,

*John Lezdey*  
John Lezdey

Registration No. 22,735

JOHN LEZDEY & ASSOC.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Lezdey  
Filed: 09/26/2003  
Serial No.: 10/672,177  
For: **DNA for Expression of Alpha 1-Antitrypsin in Methylophilic Yeast**

Examiner:  
Art Unit:

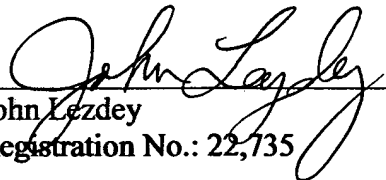
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**RESPONSE**

Responsive to the Notice to Comply with Requirements for Patent Applications  
Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed  
3/26/2006.

A computer readable form has been submitted entitled "Sequence Listing". The  
content of the sequence listing information recorded in computer readable form is  
identical to the written (on paper or compact disc) sequence listing and, where applicable,  
includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or  
1.82(d).

Respectfully submitted,

  
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